

FORM PTO-1390 U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 2365-124
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known) 10/542,985
INTERNATIONAL APPLICATION NO. PCT/GB2004/000267	INTERNATIONAL FILING DATE 22 January 2004	PRIORITY DATE CLAIMED 22 January 2003
TITLE OF INVENTION: METHODS AND APPARATUS FOR TRANSMITTING AND RECEIVING TELEVISION SIGNALS		
APPLICANT(S) FOR DO/EO/US Ralph BROOKFIELD, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
ITEMS 11. TO 20. below concern other document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

U.S. APPLICATION NO. (If known) 10/542,985	INTERNATIONAL APPLICATION NO. PCT/GB2004/000267	ATTORNEY DOCKET NO. 2365-124																																																	
20. Other items or information: Request for Extension of Time (in duplicate); Response Copy of NOMR																																																			
<input type="checkbox"/> RO/101 <input type="checkbox"/> RO/105 <input type="checkbox"/> ISA/210(ISR) <input type="checkbox"/> IB/301 <input type="checkbox"/> IB/304 <input type="checkbox"/> IB/308 <input type="checkbox"/> IB 401 <input type="checkbox"/> IB/409(IPER) <input type="checkbox"/> IB/416 <input type="checkbox"/> Published Application WO/ 066623 A2																																																			
21. The following fees are submitted: <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Basic National Fee</td> <td style="width: 10%; text-align: right;">\$300.00</td> <td rowspan="2" style="width: 30%; vertical-align: middle; text-align: center;">CALCULATIONS \$</td> </tr> <tr> <td> </td> <td style="text-align: right;">\$</td> </tr> </table> 22. Examination Fee <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....</td> <td style="width: 10%; text-align: right;">\$0</td> <td rowspan="2" style="width: 30%; vertical-align: middle; text-align: center;">\$</td> </tr> <tr> <td>All other situations</td> <td style="text-align: right;">\$200.00</td> </tr> </table> 23. Search Fee <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td style="width: 10%; text-align: right;">\$0</td> <td rowspan="4" style="width: 30%; vertical-align: middle; text-align: center;">\$</td> </tr> <tr> <td>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority</td> <td style="text-align: right;">\$100.00</td> </tr> <tr> <td>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB</td> <td style="text-align: right;">\$400.00</td> </tr> <tr> <td>All other situations.....</td> <td style="text-align: right;">\$500.00</td> </tr> </table> TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 \$ (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. \$ <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Sheets</th> <th>Extra Sheets</th> <th>Number of each additional 50 or fraction thereof</th> <th>Rate</th> <th></th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>/ 50 =</td> <td></td> <td>x 250</td> <td>\$</td> </tr> </tbody> </table> Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ 130.00 <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Claims</th> <th>Number Filed</th> <th>Number Extra</th> <th>Rate</th> <th></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>-42 =</td> <td>22</td> <td>X \$50.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>- 6 =</td> <td>3</td> <td>X \$200.00</td> <td>\$</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$360.00</td> <td>\$</td> </tr> </tbody> </table> TOTAL OF ABOVE CALCULATIONS = \$ 130.00 Applicant claims small entity status. The fees indicated above are reduced by 1/2. \$ SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + \$ TOTAL NATIONAL FEE = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$			Basic National Fee	\$300.00	CALCULATIONS \$		\$	If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....	\$0	\$	All other situations	\$200.00	If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	\$0	\$	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority	\$100.00	International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	\$400.00	All other situations.....	\$500.00	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate		- 100 =	/ 50 =		x 250	\$	Claims	Number Filed	Number Extra	Rate		Total Claims	-42 =	22	X \$50.00	\$	Independent Claims	- 6 =	3	X \$200.00	\$	Multiple dependent claim(s) (if applicable)			+ \$360.00	\$
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Multiple dependent claim(s) (if applicable)			+ \$360.00	\$																																															

accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$
TOTAL FEES ENCLOSED =		\$ 130
		Amount to be \$ refunded
		Amount to be \$ 130 charged
U.S. APPLICATION NO. (If known) 10/542,985	INTERNATIONAL APPLICATION NO. PCT/GB2004/000267	ATTORNEY DOCKET NO. 2365-124
a. A check in the amount of \$ _____ to cover the above fees is enclosed.		
b. Please charge my Deposit Account No. 02-2135 in the amount of \$ 130.00 _____ to cover the above fees.		
A duplicate copy of this sheet is enclosed.		
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.		
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Customer No. 06449</p> <p>Rothwell, Figg, Ernst & Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040</p> <p>Signature </p> <p>Name Jeffrey L. Ihnen</p> <p>Registration Number 28,957</p>		

09/27/2006 MKAYPAGH 00000082 022135 10542985

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
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 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/542,985	Ralph Brookfield	2365-124
INTERNATIONAL APPLICATION NO.		
PCT/GB04/00267		
I.A. FILING DATE		PRIORITY DATE
01/22/2004		01/22/2003
CONFIRMATION NO. 5621		
371 FORMALITIES LETTER		
 OC00000018077666		

Date Mailed: 02/22/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/21/2005
- Copy of the International Search Report filed on 07/21/2005
- Preliminary Amendments filed on 07/21/2005
- Request for Immediate Examination filed on 07/21/2005
- U.S. Basic National Fees filed on 07/21/2005
- Priority Documents filed on 07/21/2005

RECEIVED/FACILITIES
 ROTHWELL, FIGG, ERNST & MANBECK
 FILE NO. 2365-124
 P.D. G. BMT

WBL

JL

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/542,985	PCT/GB04/00267	2365-124

FORM PCT/DO/EO/905 (371 Formalities Notice)